



#30

MAR 28 2003

**LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)**

**ATTY DOCKET NO.: S63.2B-6769-US**

**APPLICATION NO.: 09/197278**

**APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz**

**FILING DATE: November 20, 1998**

**GROUP: 3731**

**REFERENCE DESIGNATION**

**U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS**

EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA 2002/0007212 A1	1/17/02	Brown et al.	623/1.16	
VB	AB 2002/0177893 A1	11/28/02	Brown et al.	623/1.16	
VB	AC 2001/0056298 A1	12/27/01	Brown et al.	623/1.16	
AD					RECEIVED
AE					APR 02 2003
AF					
AG					TECHNOLOGY CENTER R3700
AH					
AI					
AJ					
AK					
AL					

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY
BA			
BB			
BC			
BD			
BE			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)**

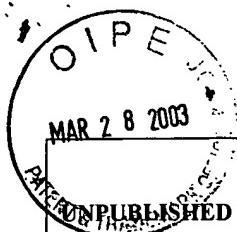
CA			
CB			
CC			

EXAMINER VB

DATE CONSIDERED

June 16, 2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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PAPER ON UNPUBLISHED US APPLICATIONS

ATTY DOCKET NO.: S63.2B-6769-US

APPLICATION NO. 09/197278

APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz

FILING DATE: November 20, 1998

GROUP: 3731

## UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
BB	DA	08/396569	3/1/95	Brown	
CB	DB	09/599674	6/22/00	Brown et al.	RECEIVED
VB	DC	09/666866	9/20/00	Brown et al.	APR 02 2003
	DD				TECHNOLOGY CENTER R3700
	DE				

EXAMINER J. BrownDATE CONSIDERED June 16, 2003

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



TDS #32

FORM PTO-1449 (Modified) U.S. PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2B-6769-US	APPLICATION NO.: 09/197278
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz	
		FILING DATE: November 20, 1998	GROUP: 3731

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA				
	AB				
	AC				
	AD				
	AE				
	AF				RECEIVED
	AG				JAN 14 2003
	AH				TECHNOLOGY CENTER R37IV
	AI				
	AJ				
	AK				
	AL				

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
	BA		
	BB		
	BC		
	BD		
	BE		

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)

V	CA	Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", <i>STENTS State of the Art Future Developments</i> , pages 59-62 (June 1995).
V	CB	Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, <i>Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies</i> , pages 401-409 (March 7-10, 1994).
	CC	

EXAMINER <u>Y. Hall</u>	DATE CONSIDERED <u>04/15/2004</u>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

OCT 5  
MAR 11 2004

#35

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2B-6769-US01		APPLICATION NO.: 09/197278		
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz				
		FILING DATE: November 20, 1998		GROUP: 3731		
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
VB	AA 5411552	5/02/95	Andersen et al.	623/2		
	AB 5649952	5/22/97	Lam	606/198		
	AC 5707386	1/13/98	Schnepf-Pesch et al.	606/194		
	AD 5855597	1/05/99	Jayaraman	623/1		
	AE 5855600	1/05/99	Alt	623/1		
	AF 5868780	2/09/99	Lashinski et al.	606/198		
	AG 5876432	3/02/99	Lau et al.	623/1		
	AH 5895407	4/20/99	Jayaraman	606/198		
	AI 5913895	6/22/99	Burpee et al.	623/1		
	AJ 5925061	7/20/99	Ogi et al.	606/198		
	AK 6056775	5/02/00	Borghi et al.	623/1.16		
	AL 6132460	10/17/00	Thompson	623/1.15		
	AM 6193747 B1	2/27/01	Von Oepen	623/1.15		
	AN 6395020 B1	5/28/02	Ley et al.	623/1.15		
VB	AO 6533808 B1	3/18/03	Thompson	623/1.15		
VB	AP 6613081 B2	9/02/03	Kim et al.	623/1.15		
	AQ					
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY			
VB	BA 0 796 597 A2	9/24/1997	EP			
VB	BB 97/32544	9/12/1997	WO			
VB	BC 99/44535	9/10/1999	WO			
	AY					
	AZ					
EXAMINER	DATE CONSIDERED		05/25/04			
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#35

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)	ATTY DOCKET NO.: S63.2B-6769-US01		APPLICATION NO. 09/197278
	APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz		
	FILING DATE: November 20, 1998	GROUP: 3731	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)			
	CA		
	CB		

## UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY

EXAM'S INIT		APPLICATION NO.	FILING DATE	INVENTOR(S)
VB	DA	60/076,946	3/5/1998	David Tseng, et al.
VB	DB	09/623,347	11/15/2000	David Tseng, et al.
VB	DC	10/474,848	12/29/2003	David Tseng, et al.

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)	ATTY DOCKET NO.: S63.2B-6769-US01		APPLICATION NO. 09/197278			
	APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz					
	FILING DATE: November 20, 1998	GROUP: 3731				
US APPLICATIONS RELATED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
	EC					
EXAMINER <i>WJH</i>			DATE CONSIDERED <i>05/25/04</i>			
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						